



PATENT
Docket No.: 19226/950 (R-5557)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants : Ren et al.

Serial No. : 09/336,126

Cnfrm No. : 7291

Filed : June 18, 1999

For : FREE-STANDING AND ALIGNED
CARBON NANOTUBES AND
SYNTHESIS THEREOF

Examiner:
M. Miggins

Art Unit:
1772

RECEIVED
AUG 27 2001
TC 1700

Assistant Commissioner for Patents
Washington, D.C. 20231

Box:

Sir:

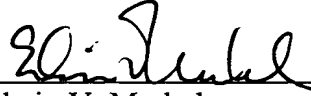
Transmitted herewith is an amendment (8 pages) and Appendix (2 pages) in the above-identified application. Also enclosed are:

- ☒ A Request for Three-Month Extension of Time.
- ☒ Third Supplemental Information Disclosure Statement (1 page) and PTO-1449 Form (1 page) (in duplicate) with 8 references.
- ☒ Applicants claim small entity status. (See 37 CFR 1.27.)
- ☒ A self-addressed, prepaid postcard for acknowledging receipt.
- ☒ The fee has been calculated as shown below:

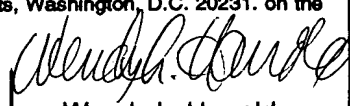
	(Col. 1)		(Col. 2)	(Col. 3)	a) SMALL ENTITY b) LARGE ENTITY	
	CLAIMS REMAINING AFTER AMENDMENT		HIGHEST NO. PREVIOUSLY PAID FOR	PRESENT EXTRA	RATE	ADD'L FEE
TOTAL	83	MINUS	86	0	a) \$ 9= b) \$18	\$0
INDEP	13	MINUS	15	0	a) \$40= b) \$80	\$0
<input checked="" type="checkbox"/> Third Supplemental IDS Fee					See fee Schedule	\$180
<input checked="" type="checkbox"/> Extension of Time Fee (3 Months)						\$445
					TOTAL	\$625

- [X] A check for **\$445.00** is enclosed to cover the extension fee.
- [X] A check for **\$180.00** is enclosed to cover the IDS fee.
- [] Please charge my Deposit Account No. 14-1138 in the amount of \$_____
A duplicate copy of this sheet is enclosed.
- [X] The Commissioner is hereby authorized to charge any additional fees or credit any overpayment to Deposit Account No. 14-1138.
A duplicate copy of this sheet is enclosed.

Date: August 21, 2001


Edwin V. Merkel
Registration No. 40,087

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Certificate of Mailing - 37 CFR 1.8 (a)	
I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231. on the date below.	
8/21/01	
Date	Wendy L. Harrold